1 PANEL 7

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- 3 MR. MCFARLAND: Good afternoon. Hope you
- 4 all had a good lunch. Thanks to the CDCR for the
- 5 catered lunch.
- 6 Our next panel will begin with Dr. Terry
- 7 Kupers who needs to leave right at 3:00. We will
- 8 hear his opening remarks and then direct any
- 9 questions that we have particularly for him, and
- 10 then hear from the others. And if you are still
- 11 around at that point, you can chime in on any
- 12 questions that we might direct to them, too.
- 13 Pleasure to have you here, Doctor.
- DR. KUPERS: Pleasure and honor to be
- 15 here.
- MR. MCFARLAND: Oh, we have to swear you
- 17 in.
- 18 (Oath administered by Mr. McFarland.)
- DR. KUPERS: It is a pleasure and honor to

- 20 be here with you. I apologize in advance I have to
- 21 leave at three. My time kept getting pushed back.
- 22 I have patients back in Oakland.
- I am a psychiatrist. I did turn in some
- 24 written comments, and Mr. McFarland finally did
- 25 write me some very apt questions which I have

- 1 answered in the written testimony and will comment
- 2 briefly about here, and I hope to leave us some time
- 3 for discussion.
- I do general psychiatry, and I am a professor
- 5 at The Wright Institute. I got roped into
- 6 testifying in court about jail and prison conditions
- 7 in 1974, actually in L.A. County, in the Rutherford
- 8 case, talking about how crowding impacted the mental
- 9 health services, the adequacy of mental health
- 10 services, rape in the jail and that kind of thing.
- I then went on from one case to another. So
- 12 it's been a sidelight of my career. I've never
- 13 worked in corrections. However, I testified in over
- 14 30 large class action lawsuits. I have consulted to
- 15 the Civil Rights Division of the Department of
- 16 Justice, consulted to Amnesty International Human
- 17 Rights Watch. I am a contributing editor for
- 18 Correctional Mental Health Report, and I have
- 19 written extensively, including a book, Prison

- 20 Madness, which is a mental health crisis behind
- 21 bars.
- The basis for my expertise in this area is,
- 23 first of all, I had a number of cases specifically
- 24 about sexual assault. In women's prisons it's
- 25 tended to be custodial misconduct. In men's prisons

- 1 it's tended to be prisoner on prisoner. I had two
- 2 rather high profile cases where a staff sexually
- 3 assaulting female prisoners.
- 4 But that isn't really the basis of much of my
- 5 expertise. The real basis is that interviewed
- 6 thousands and thousands of prisoners around the
- 7 country because I get asked for opinions in
- 8 litigation or I get asked to step in as a consultant
- 9 to help a case after litigation has commenced. And
- 10 in the process I interview lots and lots of
- 11 prisoners, and I feel very privileged to do that.
- 12 Because, for the most part, as you know, people on
- 13 the outside don't know much what goes on in prison,
- 14 so I have in-depth interviews with lots and lots of
- 15 prisoners. They tend to be people with serious
- 16 mental illness and very shockingly high proportion
- 17 of them have been sexually assaulted which is what I
- 18 testified when I talked to the Parole PREA
- 19 Commission.

- 20 MR. SEXTON: Previous to their attendance
- 21 in prisons?
- DR. KUPERS: No, after, in jail or prison,
- 23 but previous to my interview with them.
- 24 And so I hear about a lot of unreported sexual
- 25 assault and rape, and that is actually the bulk of

- 1 my experience. That far outweighs the overt cases I
- 2 testified in.
- 4 repeat it here, mentioning someone I saw the week
- 5 before I wrote this, now two weeks ago. He is a
- 6 very tough prisoner in another state who was being
- 7 tried for murder because he killed another prisoner
- 8 and the other prisoner attacked him. This is a
- 9 maximum security prisoner who can be attacked by a
- 10 very rough actual gang member who had a deadly
- 11 weapon and he killed him in a hand-to-hand combat.
- 12 When I was talking to him, after a few hours
- 13 of talking with him -- that is a significant fact in
- 14 itself -- told me and broke down in tears crying
- 15 that he had been raped and he had been attacked in
- 16 his cell by three men wearing masks, other
- 17 prisoners. To this day he doesn't know who did it.
- 18 He never reported this, but it certainly changed his
- 19 life in prison. And it is after that rape that he

- 20 went and got himself a shank.
- 21 Having made that comment, I want to reiterate
- 22 what I said in writing, for the most people who have
- 23 been -- survived sexual assault and rape in prison,
- 24 they are not dangerous people. They tend to stay to
- 25 themselves. In fact, their symptoms psychiatrically

- 1 tend to be on the depressive side. They either have
- 2 intrusive symptoms, like nightmares and flashbacks
- 3 or they fall into a very deep depression where they
- 4 isolate themselves. That is the majority of people
- 5 I have seen who survive prison rape.
- 6 However, there are cases and some of them have
- 7 been very high profile. People have turned to
- 8 extreme violence in reaction to having been so
- 9 brutally assaulted and raped.
- 10 Conditions, of course, are very important,
- 11 crowding in California where unprecedented level of
- 12 crowding. I testified in a number of cases that
- 13 were about the ill effects of prison crowding. And
- 14 we know for sure that violence, psychiatric
- 15 breakdown and suicide all rise precipitously with
- 16 crowding. We are now very crowded in California and
- 17 around the country. Some states are crowded. Some
- 18 states are renting beds.
- 19 What happens with crowding is all forms of

- 20 violence and mental illness rise, and among the
- 21 violent incidence are a number of sexual assaults.
- 22 People with serious mental illness are especially
- 23 prone to sexual assault. There are many reasons for
- 24 that. One of the reasons is they tend not to have a
- 25 lot of social skills, and in prison if you want to

- 1 rape or sexually assault someone, you want to
- 2 assault someone who doesn't have friends. You can
- 3 be retaliated against.
- 4 I mention in the report a study that was just
- 5 released in September by the federal Bureau of
- 6 Justice statistics, which has shockingly high
- 7 prevalence rates for serious mental illness. The
- 8 study, I will caution you in advance that I really
- 9 recommend reading it, is about reported symptoms,
- 10 not about diagnosis by clinicians. Those two
- 11 figures are slightly different, but not large, not a
- 12 large difference. But the figures come out over 50
- 13 percent, really, on average of prisoners suffering
- 14 from serious mental illness. The other thing the
- 15 study goes into is these prisoners with serious
- 16 mental illness are more than twice as likely to be
- 17 attacked in correctional settings, which I think is
- 18 an unprecedented study and backs up what I have been
- 19 finding in my interviews, which is people with

- 20 mental illness tend to be the victims of various
- 21 violent crimes, including rape.
- 22 So in terms of what to do to prevent prison
- 23 rape and sexual assault, I will just be attending to
- 24 the unmed treatment needs of people with serious
- 25 mental illness which would be very important,

- 1 including providing them a safe place.
- 2 Staff training is a thorny issue. I will
- 3 mention it quickly now. We can talk about it more.
- 4 There are trainings and there are trainings. We
- 5 have learned this in the workplace with sexual
- 6 harassment. One of the best legal defenses for a
- 7 public agency or corporation in terms of sexual
- 8 harassment litigation is to do staff training. So
- 9 that they can then say if sued, "We did our best.
- 10 This individual did what he did, but we did a
- 11 training for the staff."
- 12 People who do those trainings, and I have done
- 13 a few, but I don't do a lot of them, tell me that
- 14 the most important thing about those trainings is to
- 15 get people engaged. So you have these sort of
- 16 sitting in the back of the room with arms crossed
- 17 phenomena. The trainers go into a police department
- 18 or fire department where there is an accusation of
- 19 race discrimination or sexual harassment, attacks on

- 20 gay or lesbian workers, and you walk into a room,
- 21 and it is an involuntary situation. The trainer is
- 22 being asked in and the workers are required to go.
- 23 And there will be a bunch of men, usually, sitting
- 24 in the back of the room with arms crossed, and the
- 25 trainers tell me that the trick is to get those guys

- 1 involved in the training. They have tricks on how
- 2 to do that.
- 3 What I went to recommend about training is
- 4 that it is not just about scratching the surface;
- 5 that training has to be about deep issues that lie
- 6 behind sexual assault. For instance, misogyny,
- 7 homophobia, racism. In order to do training with
- 8 staff around those issues, and I say staff because
- 9 whether the perpetrator of sexual assault is a
- 10 prisoner or a staff member, the staff are very
- 11 involved. And if the staff can improve on
- 12 attitudes, that will make the incidence of sexual
- 13 assault go down. So there needs to be training that
- 14 isn't just scratching the surface, where they really
- 15 get to the people being trained and talk how it
- 16 feels to be the object of sexual gender choice or
- 17 rational discrimination. And that can be done. We
- 18 know how to do that. We have trainers in the
- 19 community. I know in the California Department of

- 20 Corrections and Rehabilitation is consulting some of
- 21 those trainers. National Institute of Corrections
- 22 does that. And I would just emphasize whatever the
- 23 expertise in the area, if we are training about
- 24 relations with homosexual, gay, lesbian,
- 25 transgender, bisexual people, there is an expertise

- 1 in the community about that. That should be brought
- 2 into the correctional training situation.
- I mentioned a case that I testified in. I can
- 4 mention the name. I've checked with the attorneys
- 5 in the case. That is the Roderick Johnson case in
- 6 Texas. I really recommend that you become familiar
- 7 with that case. I testified in that case for two
- 8 days. Roderick Johnson was, is a black gay man who
- 9 was made into the sex slave of gangs in the Texas
- 10 prisons. Very high profile case. While this was
- 11 going on, he was spending a year and a half in a
- 12 prison, very tough prison where there were a lot of
- 13 gangs.
- 14 He went to the classification committee six
- 15 times requesting to be put in protective custody.
- 16 They denied him. According to testimony in the
- 17 case, other prisoners testified and supported his
- 18 testimony. He was laughed at in those
- 19 classification hearings. He was told, "You have to

- 21 degrading things in those classification hearings.
- 22 And what we do know on the record is, of
- 23 course, those degrading things are not recorded. We
- 24 know that he was denied safekeeping. And by
- 25 testimony in court and subsequent research it is

- 1 clear that all of this did happen, that he was
- 2 brutally sexually attackd over and over again and
- 3 made into a sex slave, and the staff and authorities
- 4 did nothing to help him.
- 5 Now Texas is cleaning up their act. There are
- 6 changes in the Texas Department of Criminal Justice.
- 7 But his case illustrates how complicity of the staff
- 8 is required in what seems to be prisoner-on-prisoner
- 9 rape. And I have seen it happen in terms of staff
- 10 assigning two people to a cell where one is a known
- 11 rapist, and they do that to punish the one who is
- 12 not. So that what they are basically doing is
- 13 punishing someone with rape that the staff set up by
- 14 where they assign people. Various situations like
- 15 that. There are various permutations.
- 16 Mr. McFarland asked me about the standards. I
- 17 testified before PREA about standards. I think I
- 18 made a mistake when I testified before PREA, and
- 19 that is there are no explicit standards written out

- 20 as one, two, three, these are the steps you take.
- 21 However, what I believe is -- and there is a
- 22 consensus among experts in the field, it's reflected
- 23 in the National Institute of Corrections' documents.
- 24 It is reflected in the L.A. County protocol you
- 25 discussed this morning. It's reflected in the Human

- 1 Rights Watch reports. I mentioned two of them. The
- 2 one about women sexual assault and the one about
- 3 men.
- 4 There are standards. There is consensus in
- 5 the field, and probably we will need a more explicit
- 6 standard in what confidentiality means, what
- 7 protects means, what it means not to put someone in
- 8 segregation after reporting being sexually
- 9 assaulted.
- 10 The largest issue, as far as I am concerned,
- 11 in prisons is respect. That is where the staff
- 12 respect the prisoners as fellow human beings. They
- 13 are doing time. They are human beings, and they
- 14 deserve respect. Those with mental illness deserve
- 15 treatment for their condition. Those who are
- 16 sexually assaulted deserve a respectful response on
- 17 the part of staff. Where that attitude is in place,
- 18 then the problem is much less. And I think a zero
- 19 tolerance attitude on the part of the administration

- 20 and staff is really a major way to cut down on
- 21 prison sexual assault.
- In that regard I was asked to comment about
- 23 officers unions, and I sadly have some negative to
- 24 say about that. That is that I think that blind
- 25 loyalty among the troops, that is the blue code or

- 1 the failure of officers to report others who are
- 2 perpetrators of crimes is absolutely unacceptable
- 3 and abhorrent, and it has been the practice of the
- 4 California Correctional Police Officers Association;
- 5 that is, at anytime when their members are on trial
- 6 for alleged sexual assault, they throw total support
- 7 behind the defendant's case or their own members
- 8 rather than doing some kind of neutral investigation
- 9 to determine whether there is any validity to the
- 10 allegations, and, if so, then they should be the
- 11 first ones to say this is not proper conduct.
- 12 I want to say a few things about
- 13 classification, and I know that you have been
- 14 talking about classification a lot. I am not an
- 15 expert on classification, technically, but as an
- 16 expert on mental health and psychiatric services I
- 17 learned a lot about classification.
- 18 First of all, classification is entirely
- 19 related to the issue of reporting and the issue of

- 20 retaliation. It is interesting when the lieutenant
- 21 this morning spoke, he said the incidence of
- 22 homosexuality in his jail, and I believe he is from
- 23 Orange County, is 2 percent. We know that is way
- 24 low. We know that more than 2 percent of people
- 25 entering jail are gay because of national

- 1 statistics.
- Why are gay and lesbian people underreporting
- 3 their sexual preference? It has to do with what
- 4 happens to them if they report it. They are put in
- 5 either protection, which is no easy ride in jail or
- 6 prison, or they are put in segregation. It must be
- 7 at least 8 percent of the population is failing to
- 8 report their gender orientation. They are afraid of
- 9 the consequences. That is much more so in regard to
- 10 sexual assault.
- 11 The word in the jails and prisons is if you
- 12 are afraid of retaliation by a perpetrator of
- 13 prisoner-on-prisoner rape and that perpetrator is
- 14 well connected, meaning gang or just having friends
- 15 or just being a senior ranking prisoner, they can
- 16 get to you anywhere in the system, including
- 17 protection. I have seen this over and over.
- 18 Classification breaks down, and where classification
- 19 breaks down sexual assault happens. Generally, on

- 20 average, and this is different in each system,
- 21 protection is not classified. That is, protection
- 22 is a category, protective custody of safekeeping,
- 23 whatever level of protection there is. So one is
- 24 placed in that category and that is where they sink
- 25 or swim.

- 1 Now if an individual is in a gang and
- 2 snitches, you are familiar with that term, and the
- 3 gang outs him, there is a contract on his head, and
- 4 he asks for protection, he is going to be put in the
- 5 same protection unit on average as the pedophile,
- 6 the police officer and the survivor of a prison
- 7 rape. Those people are all going to end up in
- 8 protection together. There is going to be no
- 9 classification in protection. So what I have been
- 10 asked to do in several occasions is to testify in a
- 11 case where a rape happened inside protective custody
- 12 and the perpetrator was a gang member and the victim
- 13 usually people who are vulnerable to rape and sexual
- 14 assault or low level offenders. They are not very
- 15 savvy about crime in the streets and such or they
- 16 are in for drug charges or minor charges, and they
- 17 may be in, as we were talking about this morning,
- 18 with lifers or people who are much more hardened,
- 19 just vulnerable to sexual assault.

- 20 So classification needs to attend to that. So
- 21 there needs to be a classification system where
- 22 protection can be granted to the degree.
- MR. SEXTON: We keep hearing the same
- 24 thing over and over. What is the solution? Do we
- 25 not -- how much time do we spend saying, "Don't come

- 1 to jail"? How do we solve this problem that you and
- 2 everybody else is -- I think we are on the seventh
- 3 panel. Everybody is saying the same thing. What is
- 4 the solution? You, Doctor, tell us.
- DR. KUPERS: Well, there has to be a
- 6 wraparound solution. The classification system that
- 7 exists breaks down with crowding and not enough time
- 8 is spent. As you heard this morning, if there is an
- 9 empty bed, someone is going to be placed in it. We
- 10 need to stop that practice. The reason to stop it
- 11 is because rapes occur and murders occur.
- 12 So classification needs to be taken more
- 13 seriously. Where it is a problem of insufficient
- 14 staffing, given the crowd of prisoners, then that
- 15 situation has to be ameliorated.
- 16 MR. SEXTON: Corrections and the sheriff's
- 17 office doesn't get to answer that. That comes from
- 18 the budgetary commission, comes from the
- 19 Legislature, whatever. I can be honest with you.

- 20 They don't care.
- 21 There is many folks out there, throw them in
- 22 and throw away the key. There is a lot of folks
- 23 that have that mentality. But my question is:
- 24 Given what we have, how do you fix the problem? And
- 25 the other question is: Eight percent, where is that

- 1 figure coming from?
- DR. KUPERS: Ten percent of the population
- 3 is homosexual.
- 4 MR. SEXTON: You are quoting a statistic.
- 5 Where does that come from?
- 6 DR. KUPERS: All of the literature. I
- 7 can't cite you a specific source off the top of my
- 8 head. That is generally what is the prevalence in
- 9 the population; 10 percent of population is gay.
- 10 MR. SEXTON: The bad news is the
- 11 population is going up. We are seeing an increase
- 12 in violent crimes in middle and larger cities. How
- do we solve the problem? That's what we are here
- 14 for. How would you recommend solving the problem?
- DR. KUPERS: Im going to respectfully
- 16 agree and disagree. I agree it's not the sheriff's
- 17 fault or the California Department of Corrections
- 18 fault. It is the Legislature's and the public, and
- 19 it is about priorities.

- 20 Where I disagree with you is the existence of
- 21 this panel, for instance, comes out legislation, and
- 22 it is possible to change the situation. I think our
- 23 sentencing policies need to be looked at. In
- 24 California currently a significant number of women
- 25 are going to do alternative incarceration for minor

- 1 offenses. That can be looked at. That will reduce
- 2 the population.
- 3 Unless we reduce the population, the problem
- 4 isn't going to be solved. That doesn't mean we
- 5 shouldn't talk about the details of solving the
- 6 problem. One of the details I am suggesting is
- 7 classification be more rigorous. For instance,
- 8 there be classification within protection. So I
- 9 think that is a step. That you're right, that step
- 10 alone wouldn't solve the problem.
- MR. MCFARLAND: I'm sorry, Dr. Kupers. By
- 12 classification in protection, do you mean
- 13 classification for everybody in ad seg?
- DR. KUPERS: No. That is another point I
- 15 want to make. Protection should not involve the
- 16 deprivation of any amenities or activities that the
- 17 person is entitled because of classification level.
- 18 Otherwise, too often protection means segregation.
- 19 And the problem with that, besides it is just the

- 20 inhuman conditions of segregation is that that then
- 21 causes people not to seek protection because
- 22 segregation is so toxic for them.
- 23 So protection needs to be at a comparable
- 24 level of programming as that individual would have
- 25 were they not in protection. That is a very

- 1 important point. Unless you correct that problem,
- 2 people will not seek protection. Then you are going
- 3 to have vulnerable people being assaulted.
- 4 MR. MCFARLAND: What do you mean by
- 5 classification being more rigorous in protection?
- DR. KUPERS: Levels of protection, levels
- 7 of classification inside protection. So, for
- 8 instance, the outed gang member is not at the same
- 9 level of classification within protection, is not on
- 10 the same yard as the individual who has suffered a
- 11 sexual assault or has filed -- of the police
- 12 officer.
- 13 MR. SEXTON: I am somewhat confused. If
- 14 you have a Level I individual who is assaulted, your
- 15 first time nonviolent offender, he gets assaulted
- 16 then. So are you going to move your perpetrator,
- 17 and you are talking about moving them over to ad
- 18 seg. Then you are talking about basically returning
- 19 that individual back to a Level I setting.

- DR. KUPERS: He should be at the level of
- 21 custody that his points gain him, independent of
- 22 protection.
- 23 MR. SEXTON: You are talking about
- 24 protective custody at Level I.
- DR. KUPERS: If that is necessary, yes.

- 1 Actually, contrary to what we think, intuitively a
- 2 lot of sexual assaults happen at lower levels in
- 3 prisons because there is more freedom to that, more
- 4 dorms.
- 5 MR. SEXTON: I understand that. I am not
- 6 aware, right offhand I am not aware of any
- 7 protective custody at Level I facilities. I am
- 8 just -- normally what happens, you're returned back
- 9 to general pop.
- DR. KUPERS: That's right.
- MR. SEXTON: Not a protective status.
- 12 There is not a protective custody in general
- 13 population.
- DR. KUPERS: That's right, and I think
- 15 that's a problem. I agree with you the whole system
- 16 needs to be looked at. I am not suggesting a one
- 17 strike correction. There is a lot of people who are
- 18 assaulted in that situation exactly because there is
- 19 no protection. It would not be so difficult to

- 20 restructure classification such that people can be
- 21 given protection, relative protection. Some people
- 22 need more than others. Some people can manage if
- 23 they are just in closer observation in a unit that
- 24 has more direct observation than another unit.
- 25 Within any institutions the staff know which units

- 1 make people more vulnerable and which units give
- 2 them more supervision.
- 3 What I'm saying is I don't have a fix-it
- 4 proposal about this, but it needs to be looked at
- 5 very closely so that the classification is done
- 6 carefully. And it is not the case that everyone who
- 7 asks for protection is lumped together and gets no
- 8 further consideration as their individual problems
- 9 dictate.
- 10 MR. MCFARLAND: Are there other ideas you
- 11 have for solutions? You mentioned classifying
- 12 within ad seg, reviewing sentencing policies,
- 13 reducing population and having programming within
- 14 protection deck so there is not a disincentive.
- DR. KUPERS: I want to make one more point
- 16 that is about consensual sex. I know you hear about
- 17 this all the time. In order for there to be
- 18 consensual sex, there needs to be an alternative to
- 19 having sex. And if an individual who is vulnerable

- 20 is frightened in a prison and agrees to consensual
- 21 sex with someone in order to have protection, in my
- 22 mind that is not consensual sex.
- 23 There needs to be the things I am
- 24 recommending. For instance, protection such that
- 25 consensual sex, if it's legal, can happen.

- 1 Otherwise there is no consensual sex. What is
- 2 happening is all the vulnerable prisoners are
- 3 agreeing to sex in order not to be killed. No, not
- 4 consensual.
- 5 In terms of other solutions -- by the way, Mr.
- 6 Sexton is right, sentencing is not the prerogative
- 7 of the sheriff or the Department of Corrections.
- 8 Sentencing needs to be looked at very clearly. We
- 9 have massive overcrowding in the prison, and that is
- 10 leading the problem.
- 11 MR. SEXTON: I don't think there is a
- 12 state that I am aware that does not have some sort
- 13 of alternative sentencing commission, community
- 14 corrections. And I hate to be the one to tell you
- 15 this, but crime is continuing to go up. The problem
- 16 -- that is why I am asking first for solutions. I
- 17 don't foresee this going away. We are headed right
- 18 back where we were in the late '80s and early '90s
- 19 with our crime trends.

- DR. KUPERS: I don't think that's the
- 21 crime trend. If you look at the differences between
- 22 states, you will find that the correlations break
- 23 down. I think the crime rates go up by a point or
- 24 two. The prison populations go up ten times.
- MR. SEXTON: You may want to go look at

- 1 the UCR crime rates this year, and then the most
- 2 recent studies done by Police Executive Research
- 3 Foundation on major and medium sized cities to the
- 4 point now the increase over the past year was the
- 5 highest that we have seen in 14 years.
- 6 DR. KUPERS: I would be happy to review
- 7 with you that information is broken down by states,
- 8 and what my guess is is that there is no --
- 9 MR. SEXTON: Just happen to have a copy of
- 10 it.
- DR. KUPERS: There is no correlation
- 12 between the states that are increasing at a higher
- 13 rate than the states that are not, and the crime
- 14 rate. Actually, there is many variables mixed into
- 15 that. There is a very complicated discussion about
- 16 sentencing and crime rates. My point is, yes,
- 17 states have diversion programs. They are not
- 18 sufficiently used such that in California the prison
- 19 population is growing by leaps and bounds. There is

- 20 no need that that be the case.
- 21 That is not the problem of Department of the
- 22 Corrections and Rehabilitation. That is a state
- 23 problem. The Legislature needs to look at it. The
- 24 public needs to look at it. I think that is my
- 25 list. I think I will stop there.

- 2 think the work of -- your work and the work of
- 3 providing adequate mental health care -- mental
- 4 health care includes housing people in a situation
- 5 that is safe and where they can receive the care
- 6 they need. So it involves intensity of mental
- 7 health care as well as protection in the ways they
- 8 need protection.
- 9 MS. ELLIS: Dr. Kupers, one of my
- 10 contentions is we don't know enough about trauma in
- 11 our society, whether it's the trauma experience as a
- 12 result of sexual assault or homicide survival or the
- 13 impact of crime on robbery victims. We talk about
- 14 it a lot, but I don't think we really understand it.
- 15 I would like from your advantage as a psychiatrist
- 16 to talk briefly about trauma. I know this could
- 17 take days. I would like for you to give a good
- 18 solid definition of the social wounds.
- DR. KUPERS: Of?

- 20 MR. ELLIS: Trauma, the social wound as we
- 21 see it in victim services, that it is something that
- 22 gets beneath that skin where we are not protected.
- DR. KUPERS: I think I see where you are
- 24 coming from. And let me just mention that if you
- 25 study the incidence of past trauma in victims of

- 1 crime and perpetrators of crime, you will find that
- 2 the numbers are extremely high. So for instance,
- 3 people going to prison, the published statistics by
- 4 the federal Bureau of Justice Statistics is 56
- 5 percent of women entering prison have been
- 6 physically or sexually abused in the past. I think
- 7 that a comparable figure would fit the crime victim
- 8 group.
- 9 So what we've got is massive trauma in our
- 10 society, and it is perpetrated against children,
- 11 which is the most damaging aspect of it. Within
- 12 that context, people are doing crimes against each
- 13 other. Some people are the victims of crime and
- 14 some people are perpetrators. We need to do
- 15 something about trauma. It involves poverty. It
- 16 involves domestic violence. It involves illicit
- 17 substances. Those problems need to be addressed so
- 18 people can grow up in a society without the
- 19 prominence of trauma that we now have. Yes, we have

- 20 prominence of trauma in victims who are
- 21 retraumatized by the crime, and we have trauma in
- 22 the criminals who perpetrate the crimes. We need to
- 23 attend to the trauma. Because unattended to, the
- 24 results of trauma in terms of a psychological realm
- 25 is repeat trauma of one kind or another, either

- 1 revictimization or becoming a perpetrator in terms
- 2 of acting out the past trauma. It is a very large
- 3 problem, a problem in our society.
- 4 I think there has been more attention to that
- 5 lately. It is known how many people in prison have
- 6 a history of trauma. Complex posttraumatic stress
- 7 disorder is a diagnosis not yet in the DSM, which
- 8 represents the problem of people who have multiple
- 9 traumas. And I think that is a very important new
- 10 angle in psychiatry, and I agree it is a very big
- 11 problem and we need to pay attention to it.
- MR. ELLIS: We need a lot of time
- 13 educating regarding trauma.
- DR. KUPERS: Absolutely.
- MS. ELLIS: Let me ask you in terms of
- 16 race. We have been talking a lot about culture. We
- 17 have been talking about what goes on inside the
- 18 walls, so to speak, and I want to ask you to discuss
- 19 the issue of race in the prisons and also with

- 20 respect to the impact on society at large because I
- 21 think we have to remember that originally the
- 22 legislation regarding PREA that kind of drove this
- 23 whole idea had a lot to do with information gathered
- 24 about society and concerns of society from a health
- 25 standpoint, from a mental health standpoint as well.

- 1 If you will, would you please address that
- 2 issue?
- 3 DR. KUPERS: I would be happy to. I
- 4 assume I have a very short time because we have two
- 5 other distinguished speakers.
- 6 David Thatcher was the Surgeon General
- 7 under Clinton. He was a colleague of mine at
- 8 Charles Drew Medical School at the beginning of my
- 9 career.
- 10 He placed racism and racial discrimination at
- 11 the absolute top of the list of public health
- 12 hazards, not just psychiatry hazards. Race matters
- 13 quite a bit in terms of suffers from what disease
- 14 and what treatment they get, whether they fall into
- 15 poverty or not, et cetera. Certainly race is a
- 16 pervasive concept in our society and the prison
- 17 population itself reflects the problem.
- 18 We have -- just about 50 percent of prisoners
- 19 are African-American, way beyond their proportion in

- 20 the community. What I find in prison is that there
- 21 are racial tensions, and the reason there are racial
- 22 tensions is because people are frustrated and
- 23 dissatisfied and angry, and they are going to take
- 24 it out on someone, across some line, and they will
- 25 manufacture the line. So the younger people are

- 1 attacking the older people. The straight ones are
- 2 attacking the gay ones. And race becomes the most
- 3 obvious line across which the battles ensue.
- 4 So when you have crowding, which causes
- 5 violence, including increased rape, it tends to
- 6 happen across racial lines. That is where it all
- 7 breaks down. But I wouldn't say that the race
- 8 difference is what caused the problem. I would just
- 9 say that becomes the nidus [phonetic] or where the
- 10 whole thing breaks down.
- 11 MR. SEXTON: I have a quick question,
- 12 please. You mentioned staff being a problem in
- 13 their allowing these things to go on. Have you
- 14 interviewed any staff in your psychological
- 15 evaluations?
- DR. KUPERS: Yes, I have.
- 17 MR. SEXTON: Are they traumatized by the
- 18 action of inmates towards them?
- DR. KUPERS: Often they are, of course.

- 20 Correct.
- 21 MR. SEXTON: They are victims inside the
- 22 wall.
- DR. KUPERS: Everybody is a victim or a
- 24 perpetrator, yes. I am not trying to blame staff.
- 25 I don't think -- I think staff -- the more I talk to

- 1 staff around the country about the problems of
- 2 prisoners with mental illness, the more I hear
- 3 universally is the complaint I don't want to be
- 4 taking care of people who are crazy, who have mental
- 5 illness. I am not trained to do it. I don't want
- 6 to be doing it. Some of those staff beat up those
- 7 people with mental illness, and I think it happens
- 8 out of frustration or meanness or whatever.
- 9 Whatever reason there is for it, it is not
- 10 okay. It is abusive. It should not be allowed. I
- 11 don't blame the prison staff for the awful things
- 12 that happen in prison. Some of them perpetrate very
- 13 abusive acts, and they should be punished for that
- 14 and not allowed to take care of people in prison.
- 15 But on the most part, prison officers and staff are
- 16 public servants trying to do a job. They become
- 17 frustrated by the very things we are talking about:
- 18 crowding, racial tensions, lack of resources to
- 19 treat people with mental illness. And abuses occur.

- 20 They should be properly investigated, and there
- 21 should be whatever proper recourse we establish as a
- 22 society.
- MR. SEXTON: Thank you.
- MR. MCFARLAND: Dr. Kupers, I was
- 25 fascinated by your testimony on Page 5 about the

- 1 proclivity of victims of sexual assault who have
- 2 some serious mental disabilities and what their
- 3 reaction or how they react to that trauma.
- 4 Do I understand correctly that it's been your
- 5 experience and your professional opinion that when
- 6 they are traumatized by sexual assault they would
- 7 become delusional and actually -- if they would --
- 8 if they have that, whatever their mental problem was
- 9 it gets worse, and if they were prone to depression
- 10 and suicide, it would exacerbate as opposed to
- 11 becoming necessarily aggressive or defensive of
- 12 themselves or acting out against somebody else.
- DR. KUPERS: That's essentially correct.
- 14 The point I was making in response to your question
- 15 was we have a condition, posttraumatic stress
- 16 disorder, which we have by convention made into a
- 17 psychiatric diagnosis subsequent to trauma, and we
- 18 define trauma. Now if you looked at the history of
- 19 people suffering from schizophrenia or bipolar

- 20 disorder, what you will find is repeated severe
- 21 traumas. But they don't present clinically as
- 22 suffering from posttraumatic stress disorder. The
- 23 nightmares and flashbacks aren't as prominent in
- 24 their symptom picture as are hallucinations and
- 25 other signs and symptoms of schizophrenia or bipolar

- 1 disorder. That is the point I was making. The
- 2 population of people who've been traumatized is much
- 3 larger than that population who technically fit the
- 4 diagnosis of PTSD. In fact, the population of
- 5 people with serious mental illness trauma is very
- 6 pervasive.
- 7 MR. MCFARLAND: One of your solutions that
- 8 you recommended is separate housing?
- 9 DR. KUPERS: Yes. Part of the treatment
- 10 for posttraumatic stress disorder is the first step
- in Herman's book Trauma and Recovery is safety. We
- 12 have to first establish safety. Someone who has
- 13 been raped in prison is not safe. I will guarantee
- 14 you that, for whatever reason, whether it is the
- 15 perpetrator is going to retaliate if they report or
- 16 that the same process is in effect under which they
- 17 got raped; that is, they are vulnerable for some
- 18 reason. Their reputation is such that they are
- 19 going to be raped again. They are not safe. They

- 20 have to be provided safety. The same is true of
- 21 anyone suffering from a mental illness. They are
- 22 more vulnerable than others, and they need a
- 23 treatment that takes first into consideration their
- 24 safety.
- 25 So separate units, step down units in prison,

- 1 which can be separate wings or separate units for
- 2 people who are suffering from mental illness.
- 3 Treatment might be a little more intensive, not as
- 4 intensive as a crisis center or a hospital.
- 5 California is doing that in administrative
- 6 segregation.
- 7 The first step, there are problems in mental
- 8 health in the California Department of Corrections
- 9 and Rehabilitation. The first step is to separate
- 10 people with serious mental illness from those that
- 11 don't have mental illness. And part of the reason
- is because it wasn't good for the people who don't
- 13 suffer from serious mental illness to be next door
- 14 to someone who is up screaming all night.
- 15 Currently in California I believe the
- 16 administrative segregation units are split such that
- 17 the people with mental illness are in a separate pod
- 18 or unit than the others.
- MR. SEXTON: I thought there was a

- 20 separate mental health unit here in Folsom.
- DR. KUPERS: That's correct. That's not
- 22 what I am talking about. There is a lot of people,
- 23 over 50 percent of the prisoners according to the
- 24 federal Bureau of Justice, some of them serious.
- 25~ Some of them are in the EOP program, which is what I

- 1 think you toured if you saw the program here. Some
- 2 of them are in administration segregation units
- 3 elsewhere throughout the system. And the point is
- 4 that there has been a policy change because of
- 5 recognizing the problem of mixing people with mental
- 6 illness and people that don't. That administrative
- 7 segregation units are split. Maybe someone from the
- 8 Department can explain that better for you, explain
- 9 that better, more detail.
- 10 MR. MCFARLAND: Thank you very much,
- 11 Dr. Kupers. You, of course, are welcome to stay as
- 12 long as you can.
- Our next witness is Robert Dumond, and he has
- 14 provided us with written testimony which we have
- 15 read. He is a board certified, licensed clinical
- 16 mental health counselor with a specialty in exactly
- 17 the subject we're talking about. We are privileged
- 18 to have you, Mr. Dumond.
- 19 MR. DUMOND: Thank you very much. I want